

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

*(Only for new nonprovisional applications under
37 C.F.R. 1.53(b))*

Attorney Docket No.

1571.1058-010

First Named Inventor or
Application Identifier

Robert B. Nilsen

Express Mail Label No.

EL 762233463 US

Title of
Invention

TEXTURED RETROREFLECTIVE PRISM STRUCTURES AND MOLDS FOR FORMING
SAME

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

6. ☐ Microfiche Computer Program *(Appendix)*

2. ☒ Specification **Total Pages [28]**
(preferred arrangement set forth below)

7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to microfiche Appendix
- Background of the Invention
- Summary of the Invention
- Brief Description of the Drawings
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

- a. ☐ Computer Readable Copy

- b. ☐ Paper Copy (identical to computer copy)

[] Pages

- c. ☐ Statement verifying identity of above copies

3. ☒ Drawing(s) (35 U.S.C. 113) **[Total Sheets [10]**

☒ Formal ☐ Informal

☒ Fig. of the Drawings for Publication **[8]**

4. ☒ Oath or Declaration/POA **[Total Pages [6]**

- a. ☐ Newly executed (original or copy)

- b. ☒ Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 18 completed)

[NOTE Box 5 below]

- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior
application, see 37 C.F.R. 1.63(d)(2)
and 1.33(b).

5. ☒ Incorporation By Reference *(useable if Box 4b is checked)*
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 4b, is
considered as being part of the disclosure of the accompanying
application and is hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents)

☒ Assignee - Reflexite Corporation
120 Darling Drive, Avon, CT 06001-4217

9. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

10. ☐ English Translation Document *(if applicable)*

11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS
Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

14. ☐ Small Entity ☒ Statement filed in prior application,
Statement(s) status still proper and desired

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☐ Nonpublication Request *(check parent application)*

17. ☒ Other: Remarks

18. **If a CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 08/883,329

Prior application information: Examiner: Loney, D. Group Art Unit: 1772

19. CORRESPONDENCE ADDRESS

NAME

Robert T. Conway, Esq.

ADDRESS

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

CITY

Lexington

STATE

MA

ZIP CODE

02421-4799

COUNTRY

USA

TELEPHONE

(781) 861-6240

FAX

(781) 861-9540

Signature

Erik L. Ence

Date

July 9, 2001

Submitted by
Typed or Printed Name

Erik L. Ence

Reg. Number

42,511

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

FEE TRANSMITTAL FOR PATENT APPLICATIONS

Attorney Docket Number

1571.1058-010

Application Number

Continuation of 08/883,329

First Named Inventor

Robert B. Nilsen

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c) or (j))	16	- 20* =	0	x \$ 18 =	\$ 0
INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	3	- 3** =	0	x \$ 80 =	\$ 0
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$ 270 =	\$ 0
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 710
				Total of above Calculations =	\$ 710
				Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =	\$ 355
				TOTAL =	\$ 355
				Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =	\$ 0
				Petition for Extension of Time Fee (37 C.F.R. 1.17) =	\$ 0
				Assignment Recordation Fee = (only when filed with application)	\$ 0
* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent				TOTAL =	\$ 355

1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☒ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$355.00. ☐ Please charge \$[] to Deposit Account No. 08-0380.

4. ☐ Other: _____

Signature



Date

July 7, 2001

 Submitted by
Typed or Printed Name

Erik L. Ence

Reg. Number

42,511

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Robert B. Nilsen, Christopher Alan Barnett, and Nicholas John Phillips

Continuation Application of

Application No.: 08/883,329

Filed: June 25, 1997

For: Textured Retroreflective Prism Structures and Molds for Forming
Same

Date: July 9, 2001

EXPRESS MAIL LABEL NO. EL 7622334634S

REMARKS

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The above-captioned application is a continuation of U.S. Patent Application No. 08/883,329 filed on June 25, 1997, to which priority is claimed under 35 U.S.C. § 120.

The related applications paragraph has been revised to include the parent application. No new matter has been added.

An Assignment for this application was recorded on Reel 9413, Frames 0413-0422 on August 28, 1998 for Application No. 08/883,329 to which this application claims priority.

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T06020.56T0660

Claims 1-12, as originally filed, have been omitted, and new Claims 1-16 have been substituted therefor, which correspond essentially to originally filed Claims 13-26 of Application No. 08/883,329.

Respectfully submitted,
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.



Erik L. Ence
Attorney for Applicants
Registration No. 42,511
Telephone: (781) 861-6240
Facsimile: (781) 861-9540

Dated: July 9, 2001
Lexington, Massachusetts 02421-4799

1058-010 1571-1058-010